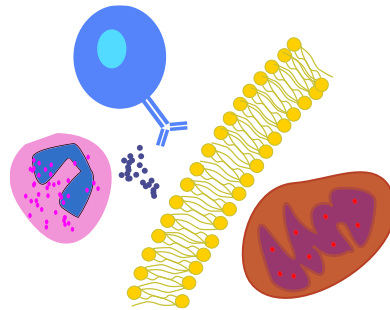


# Breathomics in asthma diagnosis and monitoring

The altered volatilome in patients with airway inflammation



**João Cavaleiro Rufo**

Instituto de Saúde Pública da Universidade do Porto  
Faculdade de Medicina da Universidade do Porto

# CHARACTERIZATION OF ASTHMA

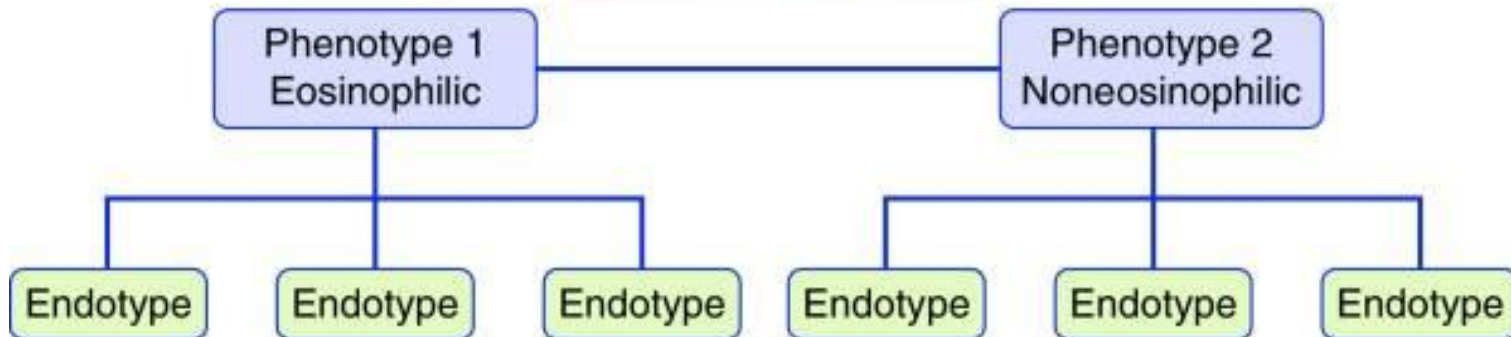
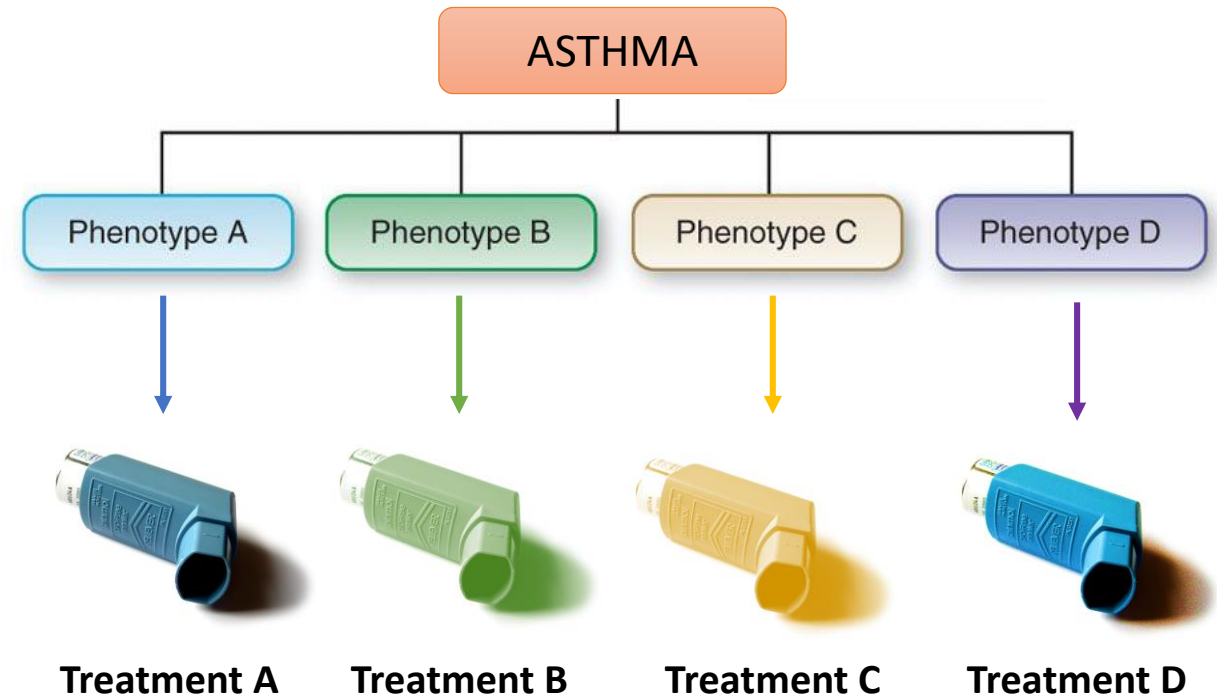
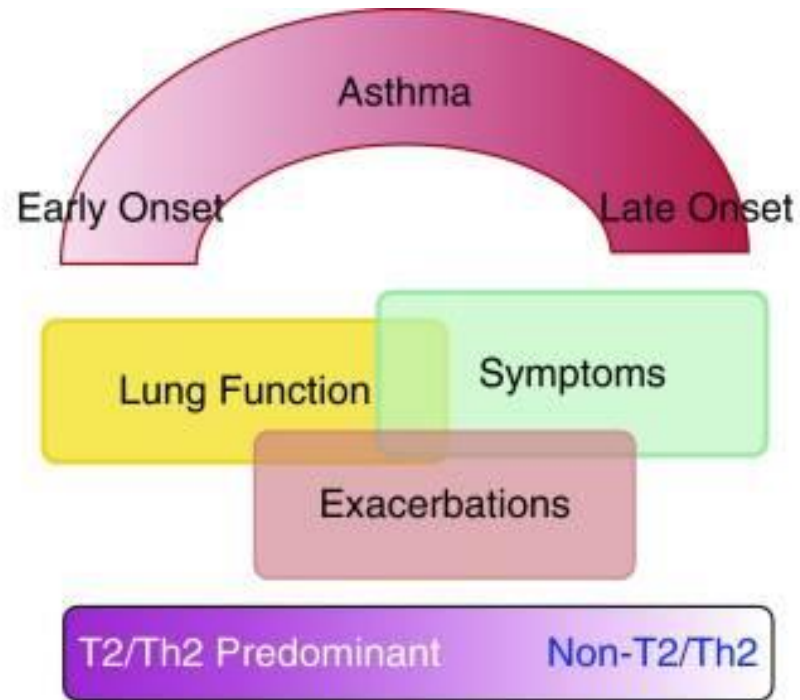
- Chronic non-communicable airway disease.
- Highly prevalent in developed countries
- Airflow obstruction, bronchial inflammation and hyperresponsiveness.
- Associated symptoms include wheezing, dyspnoea and dry cough.



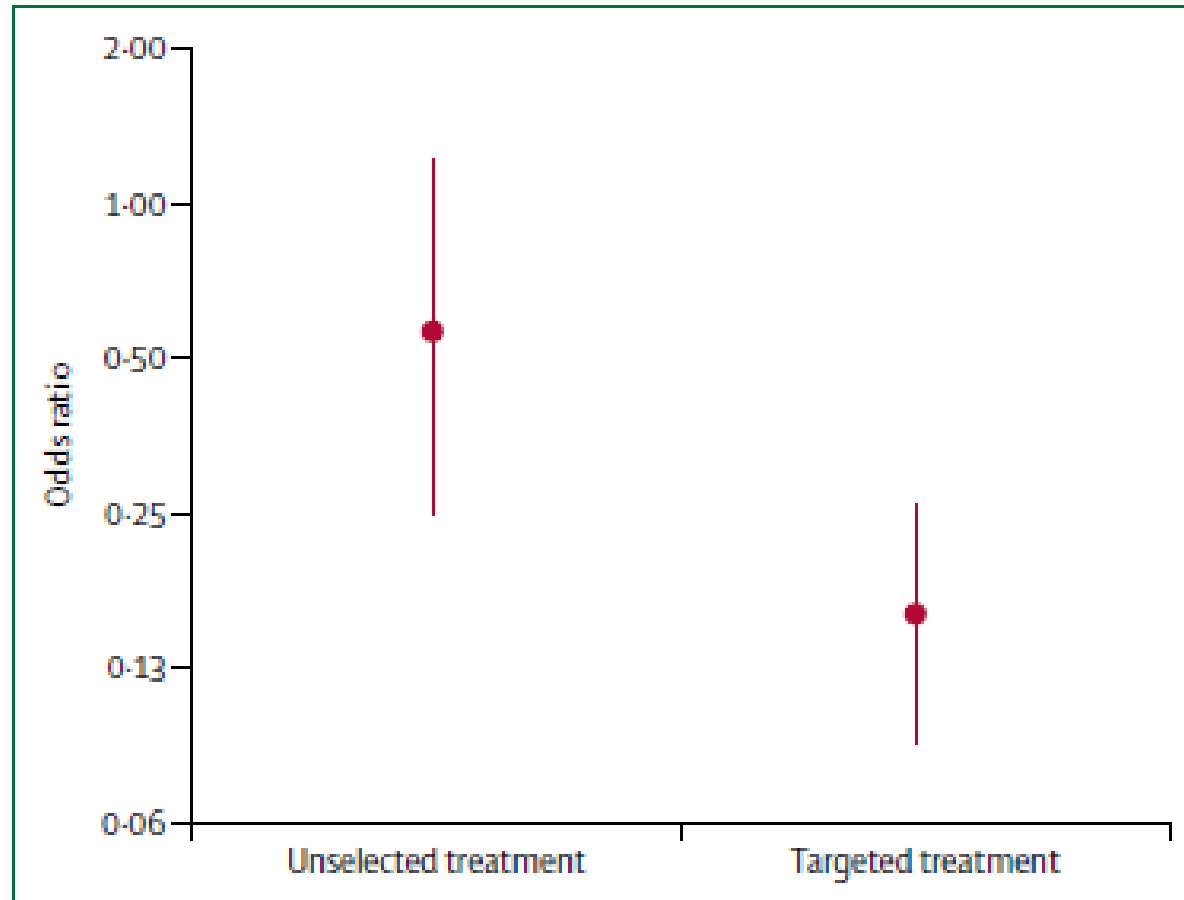
***“Asthma should solely be used as a descriptive label for a collection of symptoms”***

Pavord, I. D. *et al. Lancet.* 2018

# THE UMBRELLA TERM “ASTHMA”



# DIFFERENT PHENOTYPES, DIFFERENT TREATMENTS



Pavord, I. D. *et al.* *After asthma: redefining airways diseases*. 2018. **Lancet**. 391(10118):350-400

SE Wenzel. *Asthma phenotypes: the evolution from clinical to molecular approaches*. 2012. **Nature Medicine**, 18(5):716-25.

# THE NEED FOR IMPROVED ASTHMA DIAGNOSIS AND MONITORING SOLUTIONS

## Currently available point-of-care solutions for asthma diagnosis and monitoring

### Spirometry with bronchodilation

- Low sensitivity
- Requires patient cooperation
- Low reproducibility

### FeNO

- Low specificity
- Highly susceptible to confounders
- Only works for eosinophilic asthma

### Skin-prick-tests

- Meant for identifying atopic patients

### Self-reported history of symptoms

- Unspecific and biased



# WHAT BIOMARKERS WOULD WE NEED FOR AN IMPROVED ASTHMA DIAGNOSIS?

“Among the various omics technologies, those that can be measured **at point of care** are likely to **prevail in clinical practice**”.

*Bos et al. (2016)*



**FAST**

To collect  
To analyse



**NON-INVASIVE**

Safe to collect  
Minimal discomfort to patient



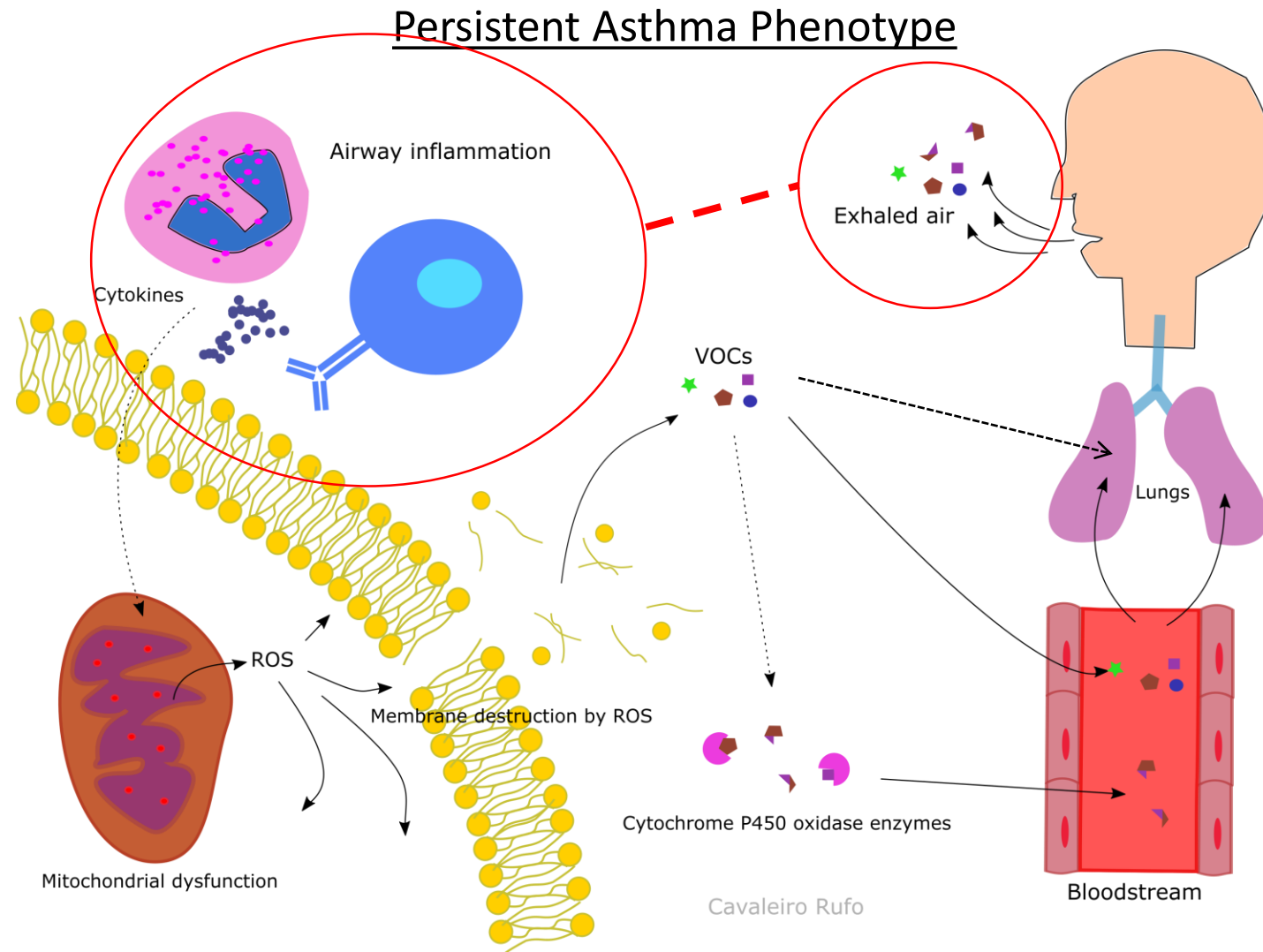
**SIMPLE**

Easy to use





# VOC PROFILES ASSOCIATED WITH ASTHMA PATHOPHYSIOLOGY



J. Cavaleiro Rufo. 2018. *Paediatric asthma: from environmental determinants towards diagnostic breathomics*.

Bos, L. D., et al. 2016. *J Allergy Clin Immunol*, 138, 970-976.

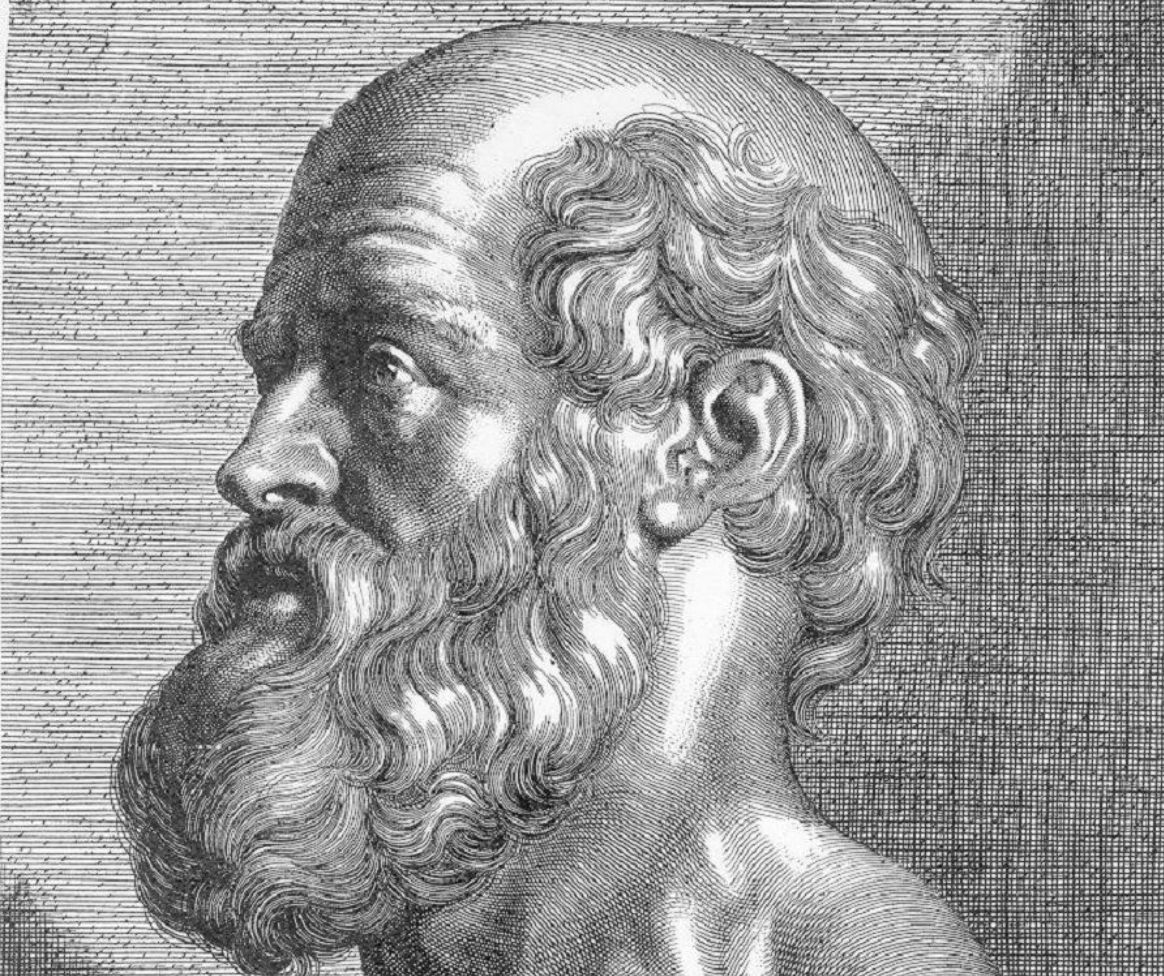


## BREATHOMICS FOR CLINICAL APPLICATIONS



**Oscar, the breathomics cat**

# BREATHOMICS FOR CLINICAL APPLICATIONS



- **Odours** from pathological origins.
- Faecal breath characteristic of **liver disease**.
- Stale beer smell exhaled by patients with **tuberculosis**.

# BREATHOMICS FOR CLINICAL APPLICATIONS

## Parkinson's smell test explained by science

By Elizabeth Quigley  
BBC Scotland news

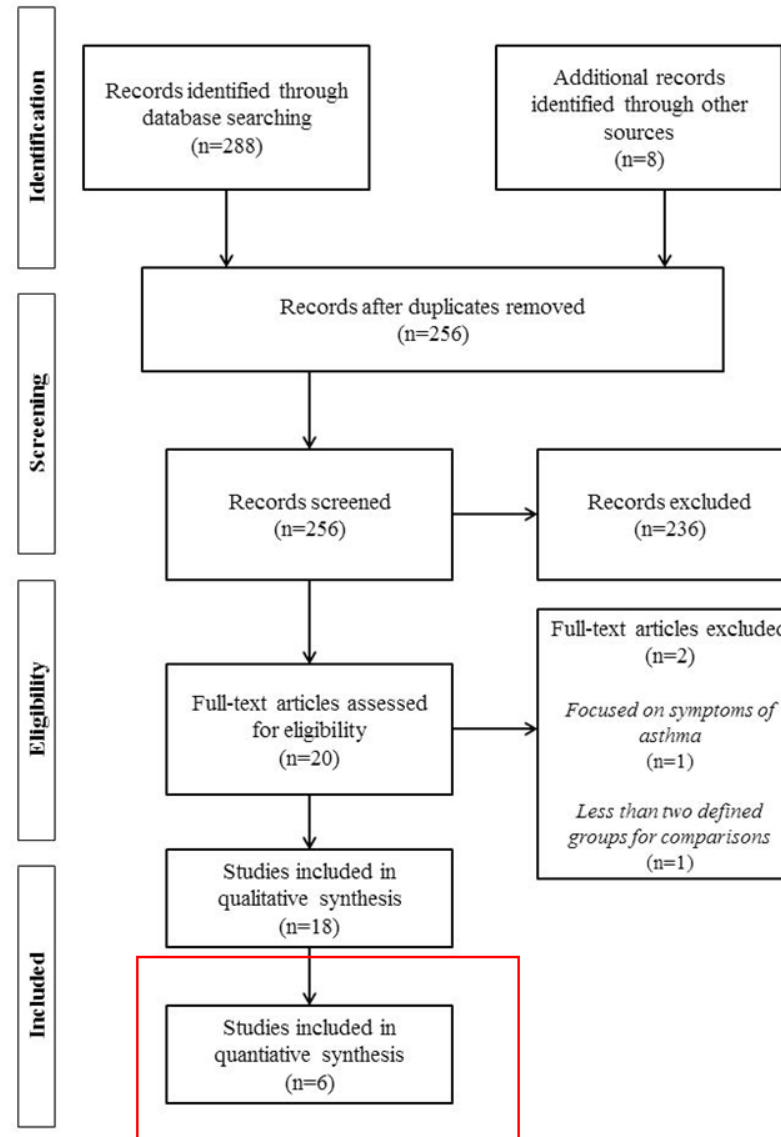
🕒 20 March 2019

f     Share

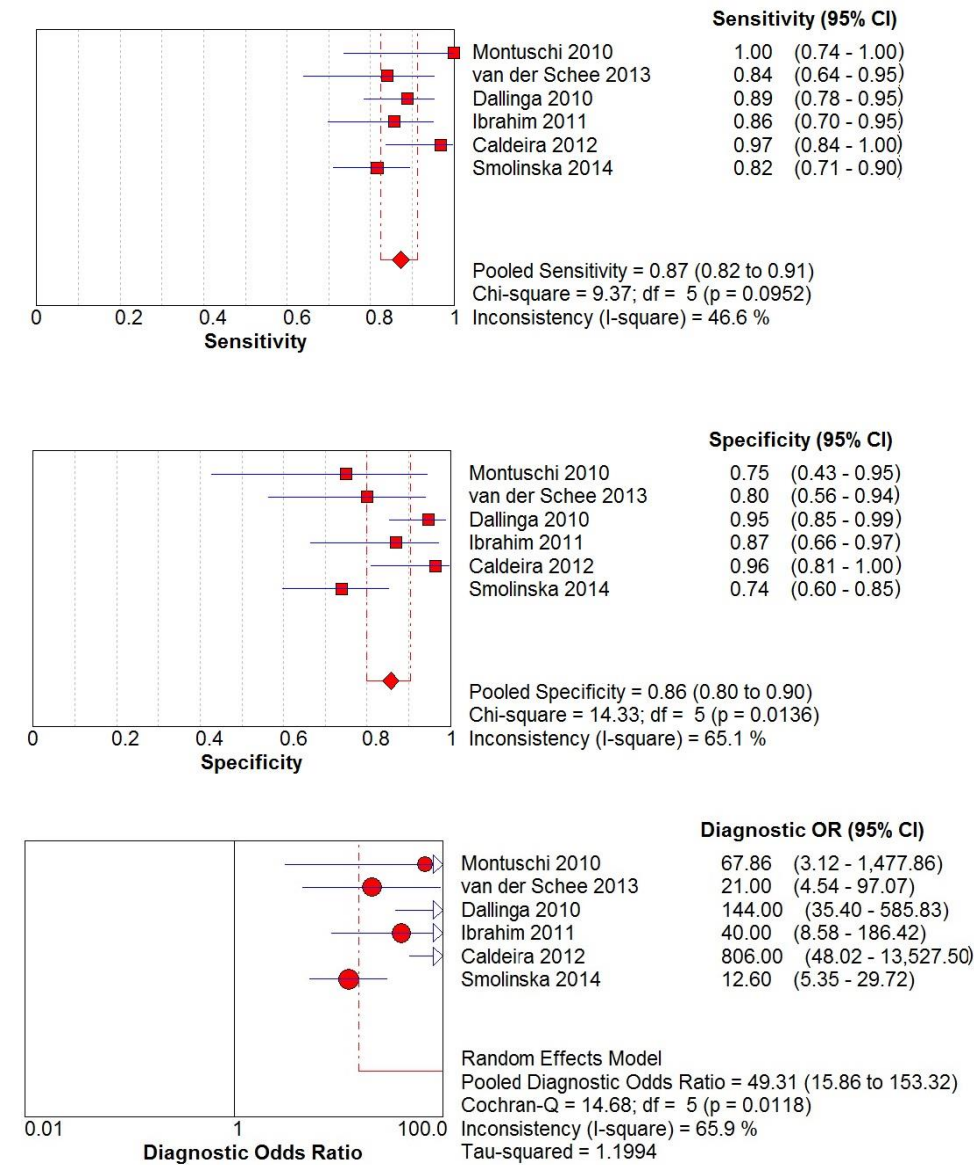


Joy Milne can smell Parkinson's disease before it is medically diagnosed

# BREATHOMICS IN ASTHMA DIAGNOSIS



# BREATHOMICS IN ASTHMA DIAGNOSIS

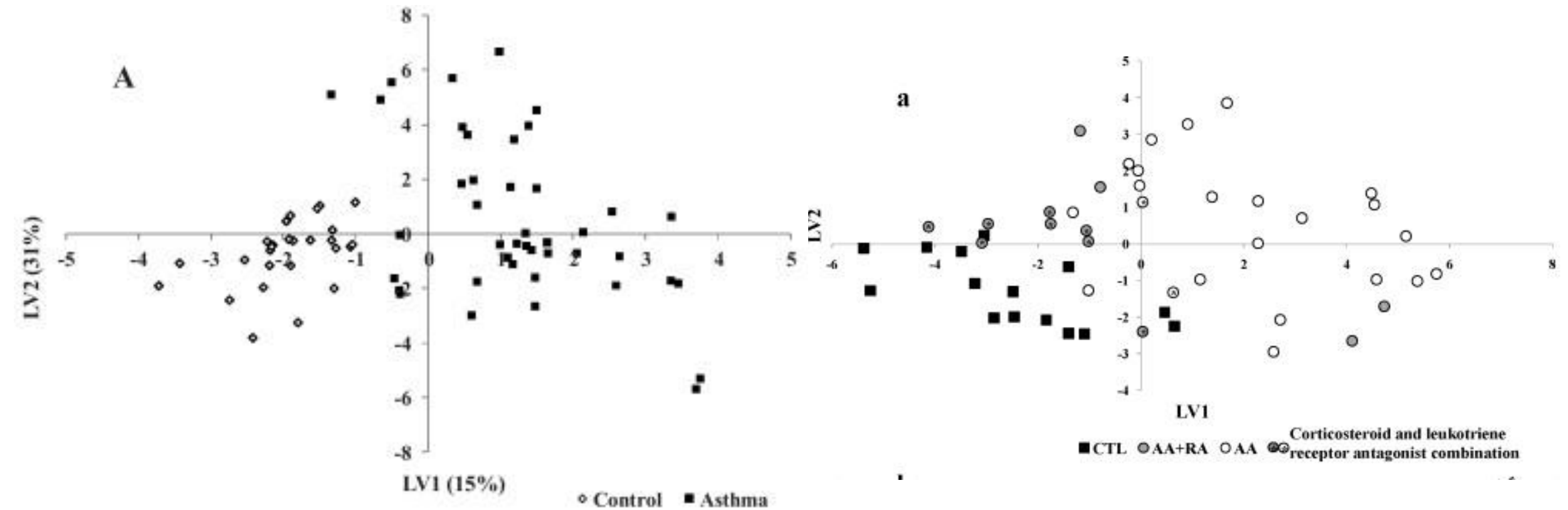


Reference	Sensitivity	Specificity
(Montuschi <i>et al.</i> , 2010)	100%	75%
(van der Schee <i>et al.</i> , 2013)	84%	80%
(Dallinga <i>et al.</i> , 2010)	89%	95%
(Ibrahim <i>et al.</i> , 2011)	85%	89%
(Caldeira <i>et al.</i> , 2012)	96%	95%
(Smolinska <i>et al.</i> , 2014)	82%	74%

Method	Sensitivity (%)	Specificity (%)
Spirometry	16	100
Spirometry + Bronchodilator reversibility > 12%	36	90



# BREATHOMICS IN ASTHMA DIAGNOSIS



Caldeira M, et al. 2012. *Allergic asthma exhaled breath metabolome: a challenge for comprehensive two-dimensional gas chromatography.* **J Chromatogr A.** 7:87–97.

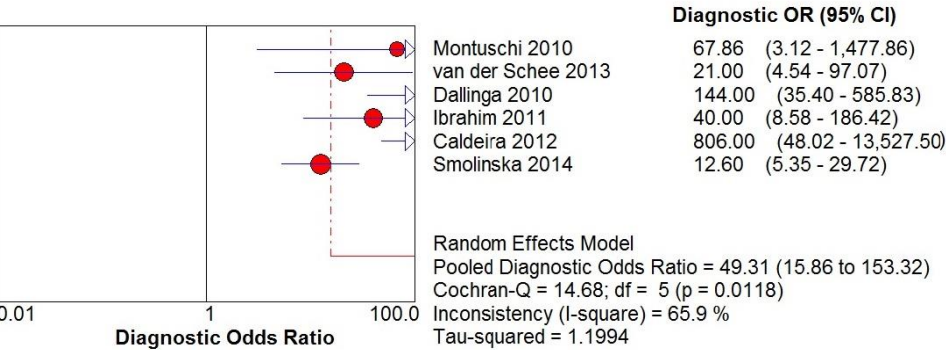
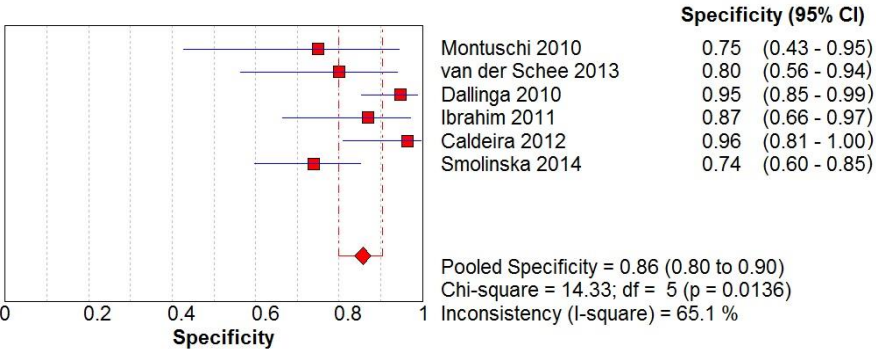
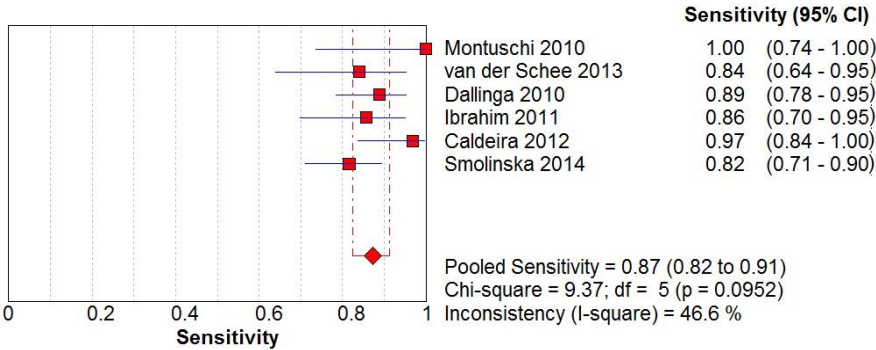
Caldeira M, et al. 2011. *Profiling allergic asthma volatile metabolic patterns using a headspace-solid phase microextraction/gas chromatography based methodology.* **J Chromatogr A.** 17:3771–3780.

# BREATHOMICS IN ASTHMA DIAGNOSIS



Chromatographic lab at Wageningen University & Research, Netherlands

# BREATHOMICS IN ASTHMA DIAGNOSIS



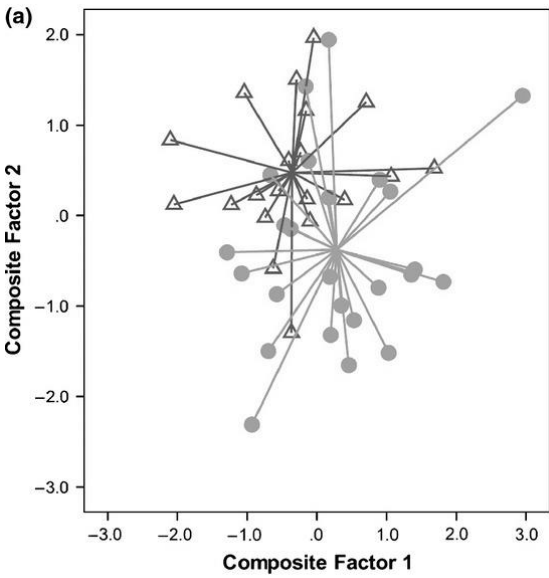
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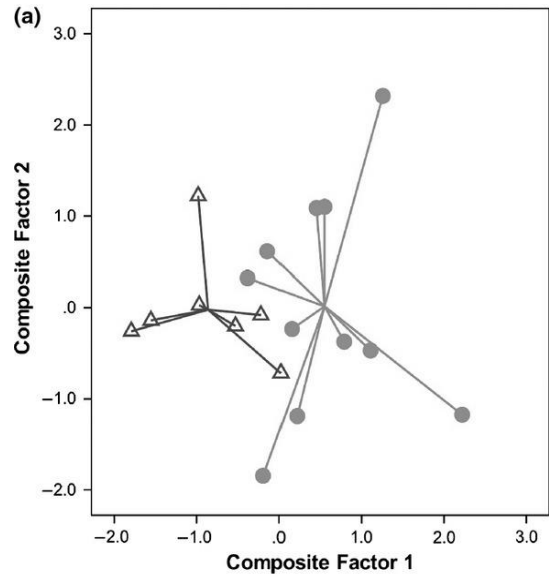
# PORTABLE ELECTRONIC NOSES

**Table 4—Diagnostic Classification With 95% of CIs in Training and Testing Phase for Data Related to Combination of Electronic Nose, FENO, and Spirometry**

Technique	Classification	
	Rate, %	Testing
E-nose (alveolar exhaled air)	92	86.2
E-nose (total exhaled air)	96	72.5
FENO	98.5	77.1
Spirometry	96	70.5
FENO and e-nose (alveolar exhaled air)	98.7	92.7
FENO and e-nose (total exhaled air)	99.1	86.6
Spirometry and e-nose (alveolar exhaled air)	99.3	81.8
FENO and spirometry	98.5	81.3
Spirometry and e-nose (total exhaled air)	99.1	81.1



Discrimination of steroid free patients with asthma (full circles) from healthy controls (empty triangles) by electronic nose: sensitivity = 80.0%; specificity = 65.0%



Prediction of steroid responsiveness (responsive = full circles; unresponsive = empty triangles) to oral prednisone, 30 mg daily for 14 days in steroid free patients with asthma by electronic nose. Sensitivity = 90.9%; specificity = 71.4%

Montuschi, P, et al. 2010. *Diagnostic performance of an electronic nose, fractional exhaled nitric oxide, and lung function testing in asthma.* **Chest.** 137(4):790-6.

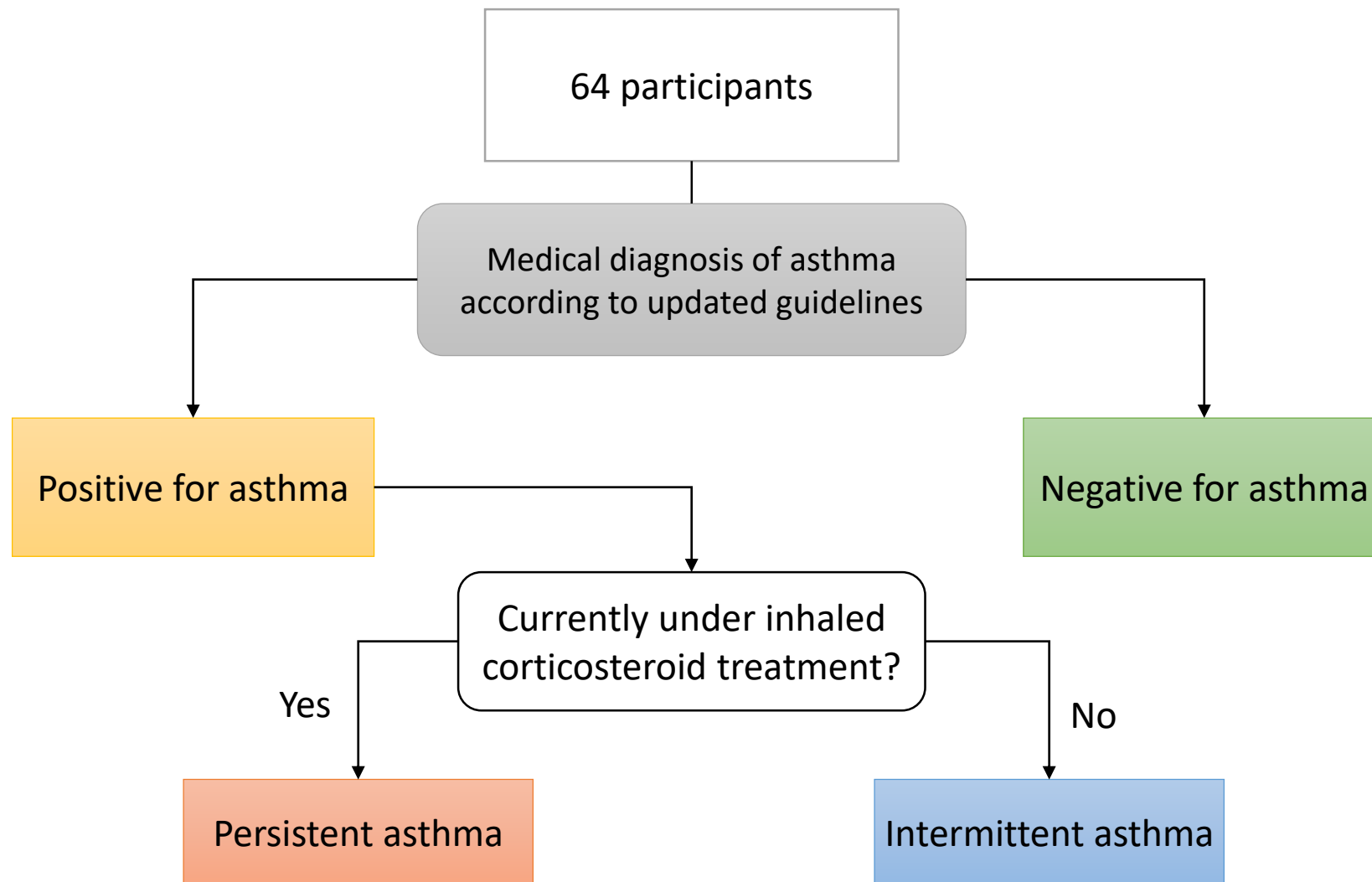
Van der Schee, et al. 2013. *Predicting steroid responsiveness in patients with asthma using exhaled breath profiling.* **Clin Exp Allergy.** 43(11) 1217-1225.



**DEVELOP A BREATHOMICS MODEL FOR PERSISTENT ASTHMA  
DIAGNOSIS IN PAEDIATRIC INDIVIDUALS**



# DEFINITION OF PERSISTANT ASTHMA



# CLINICAL ASSESSMENT AND SAMPLE COLLECTION

## Lung function



Spirometry with  
bronchodilation

## Atopy



Skin-prick tests

## Human volatilome



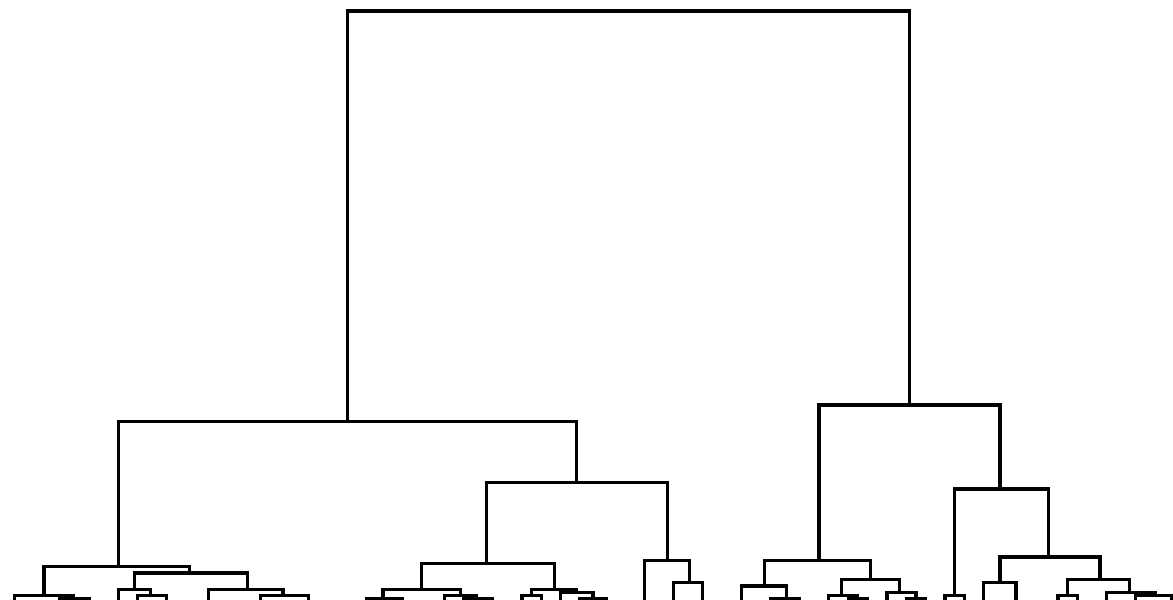
Exhaled breath condensate  
collection & analysis

## PARTICIPANTS

Characteristics	
N (males)	64 (41)
Age (years, mean $\pm$ sd)	11.4 ( $\pm$ 3.3)
Positive bronchodilation	31.3%
Atopy	79.7%
Intermittent asthma	25.0%
Persistent asthma	45.3%
Allergic Rhinitis	76.6%
Atopic dermatitis	9.4%

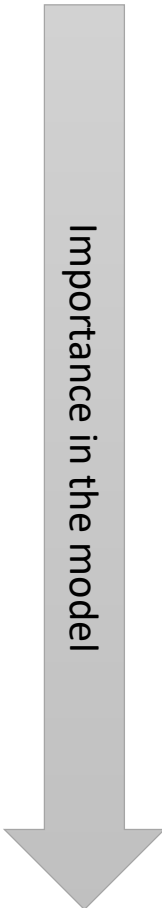
# CONSTRUCTION OF A BREATHOMICS MODEL

<- Cluster B | Cluster A ->



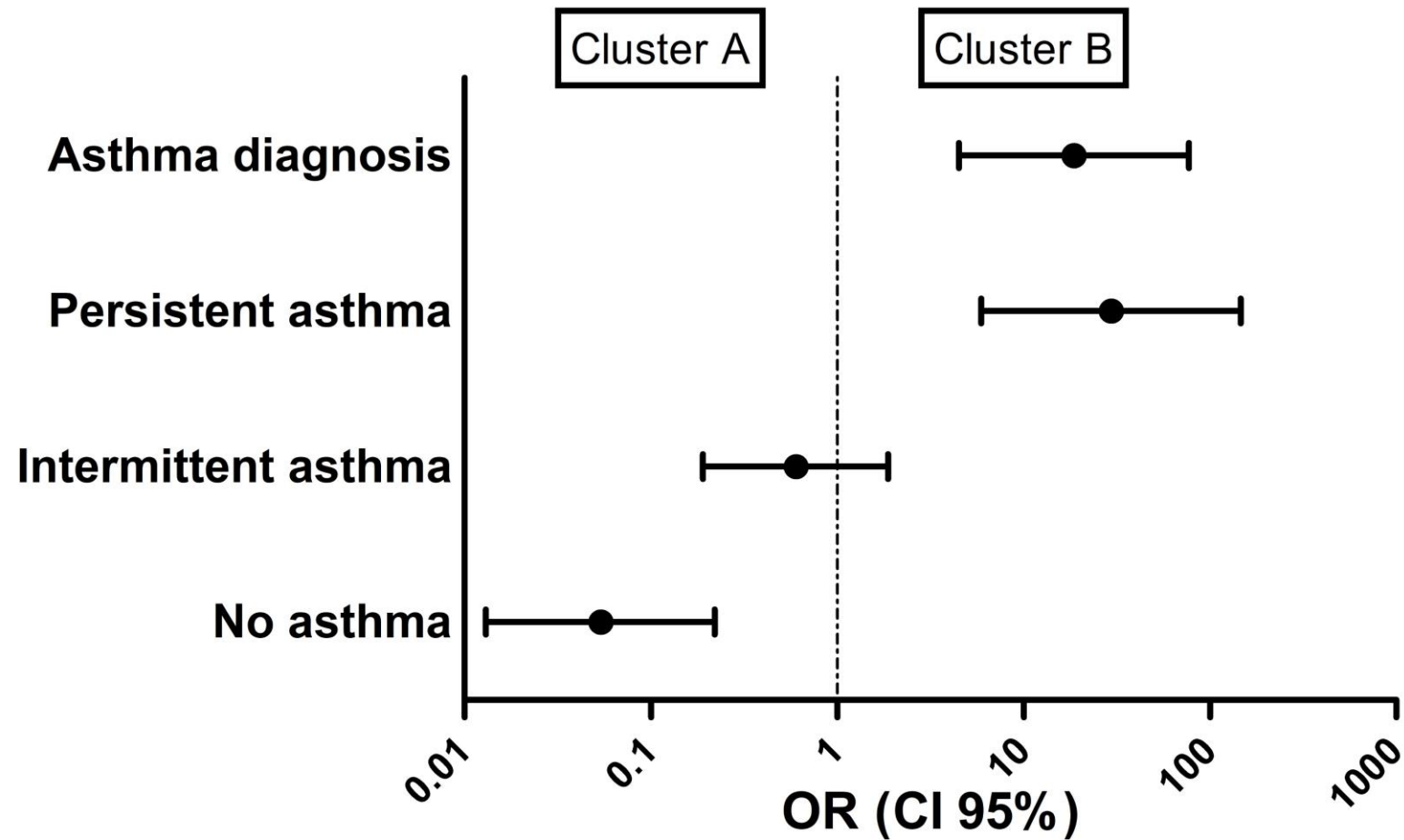
# DIAGNOSTIC ACCURACY OF ENOSE-BASED EXHALED VOC ANALYSIS FOR PAEDIATRIC ASTHMA

<u>DISCRIMINANT ANALYSIS</u>	<u>RHO</u>
Persistent asthma	<b>0.602</b>
Bronchodilation	<b>0.544</b>
Weight	0.156
Age	0.146
Height	0.134
Intermittent asthma	-0.077
Skin prick tests	-0.052
Allergic rhinitis	-0.042
Sex	0.035
Atopic dermatitis	-0.028
Recruitment setting	-0.009

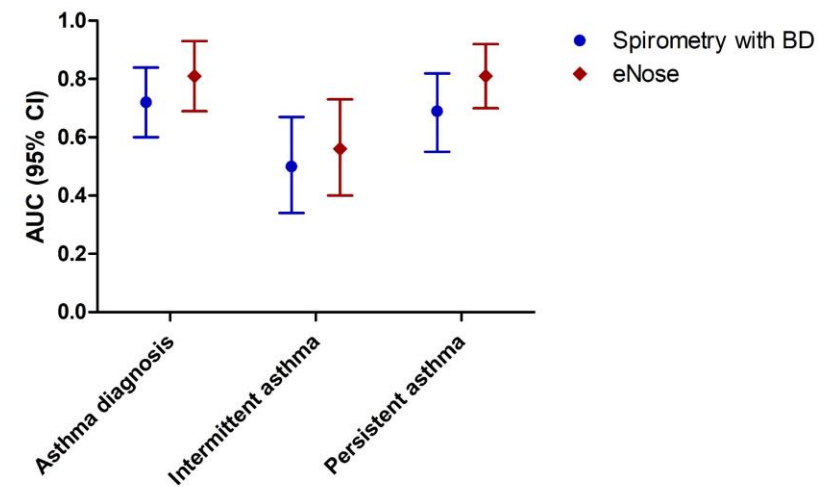
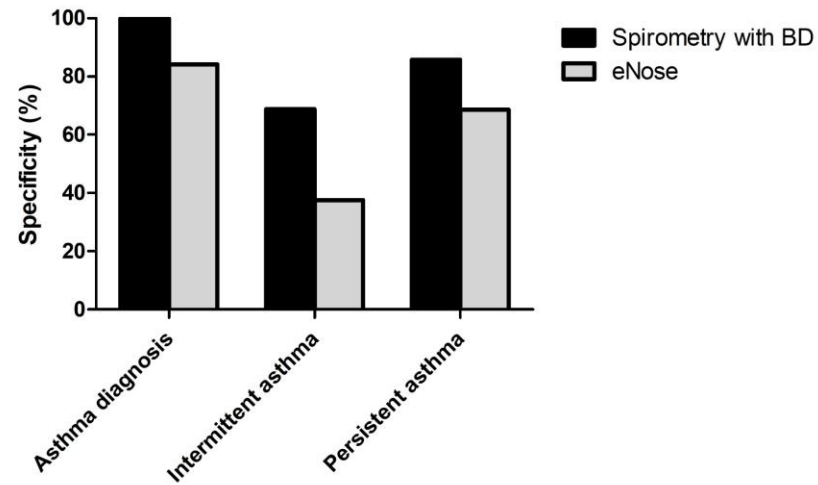
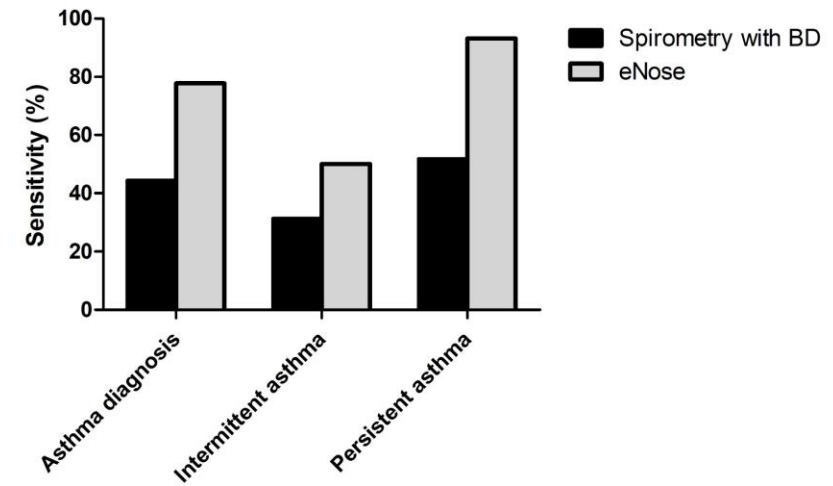
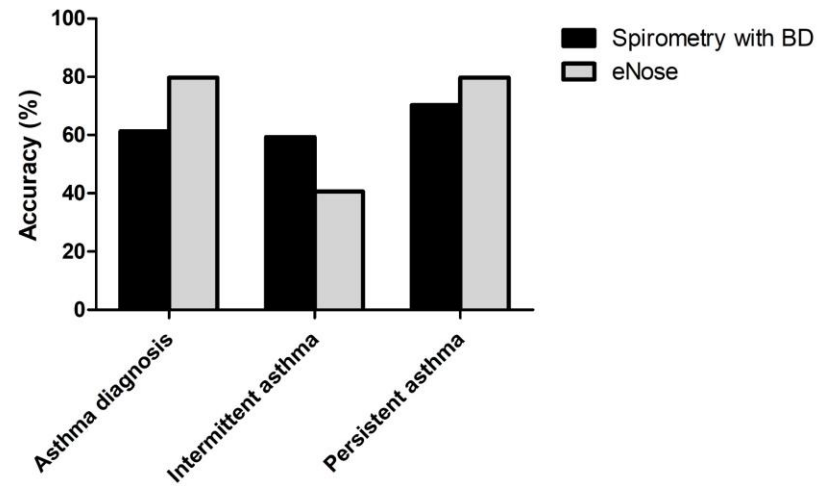




# DIAGNOSTIC ACCURACY OF ENOSE-BASED EXHALED VOC ANALYSIS FOR PAEDIATRIC ASTHMA



# DIAGNOSTIC ACCURACY OF ENOSE-BASED EXHALED VOC ANALYSIS FOR PAEDIATRIC ASTHMA



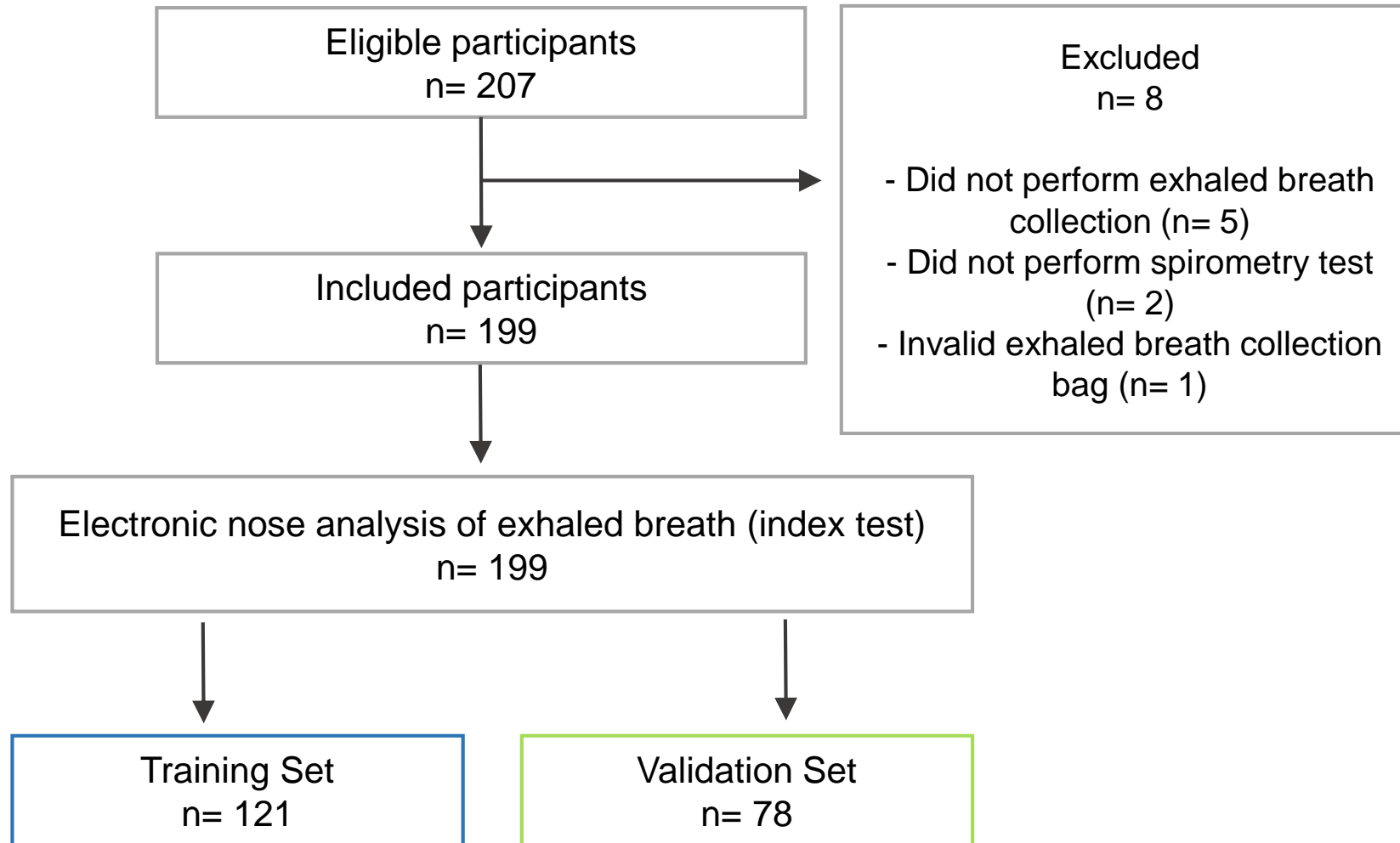
## **DIAGNOSTIC ACCURACY OF ENOSE-BASED EXHALED VOC ANALYSIS FOR PAEDIATRIC ASTHMA**

- The developed breathomics model was able to distinguish individuals with asthma under the need of inhaled corticosteroid therapy.
- Diagnostic results surpassed those from spirometry with bronchodilation.

### **HOWEVER:**

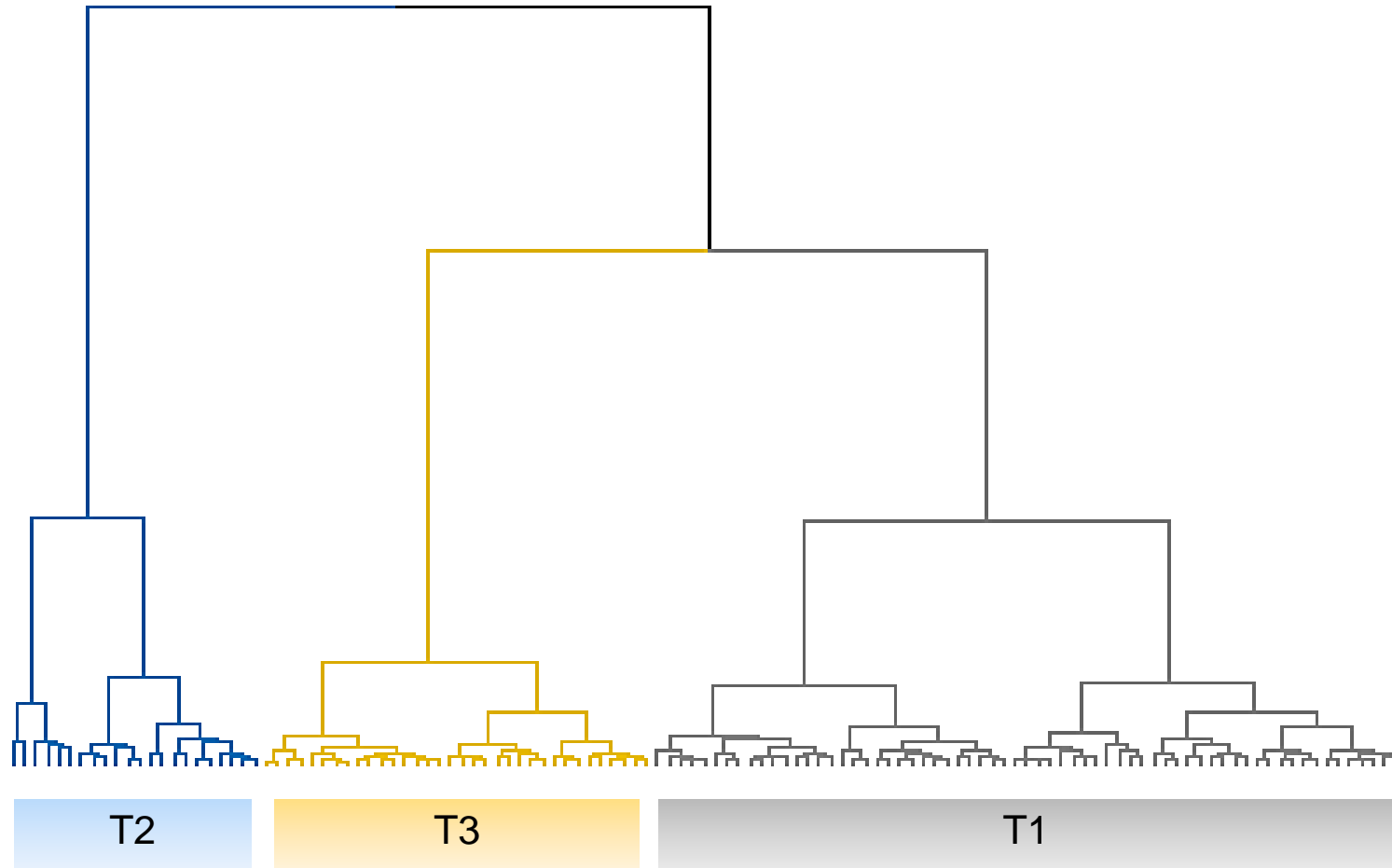
- No external validation was performed
- Only pediatric patients
- Some patients with persistent asthma were already under corticosteroid therapy
- Exhaled breath condensate was used

## BREATHOMICS IN ASTHMA DIAGNOSIS (PART II): Participants



## BREATHOMICS IN ASTHMA DIAGNOSIS (PART II): Hierarchical clustering of VOC profiles

Cluster Dendrogram (Training Set)



## BREATHOMICS IN ASTHMA DIAGNOSIS (PART II): Results for clinical parameters

	Cluster T1	Cluster T2	Cluster T3	p
N	65	22	34	
Sex (male %)	32.31	59.09	38.24	0.08
Age (years)	33.48 ( $\pm 17.26$ )	26.23 ( $\pm 14.10$ )	30.53 ( $\pm 16.00$ )	0.18
<12 years old (%)	6.15	27.27	17.65	<b>0.03</b>
<18 years old (%)	23.08	36.36	32.35	0.40
BMI (kg/m <sup>2</sup> )	24.60 ( $\pm 4.96$ )	24.64 ( $\pm 6.35$ )	24.05 ( $\pm 5.20$ )	0.93
Medical diagnosis of				
Asthma (%)	75.00	76.19	51.52	<b>0.04</b>
Rhinitis (%)	90.48	85.00	84.85	0.66
Exhaled NO (ppb)	48.02 ( $\pm 51.37$ )	44.62 ( $\pm 51.08$ )	32.66 ( $\pm 23.61$ )	0.54
Smoker (Yes %)	9.23	4.55	5.88	0.71
Intake of Food/drinks 2 hours prior test (Yes %)	61.54	40.91	79.41	<b>0.01</b>



## BREATHOMICS IN ASTHMA DIAGNOSIS (PART II): Results for lung function

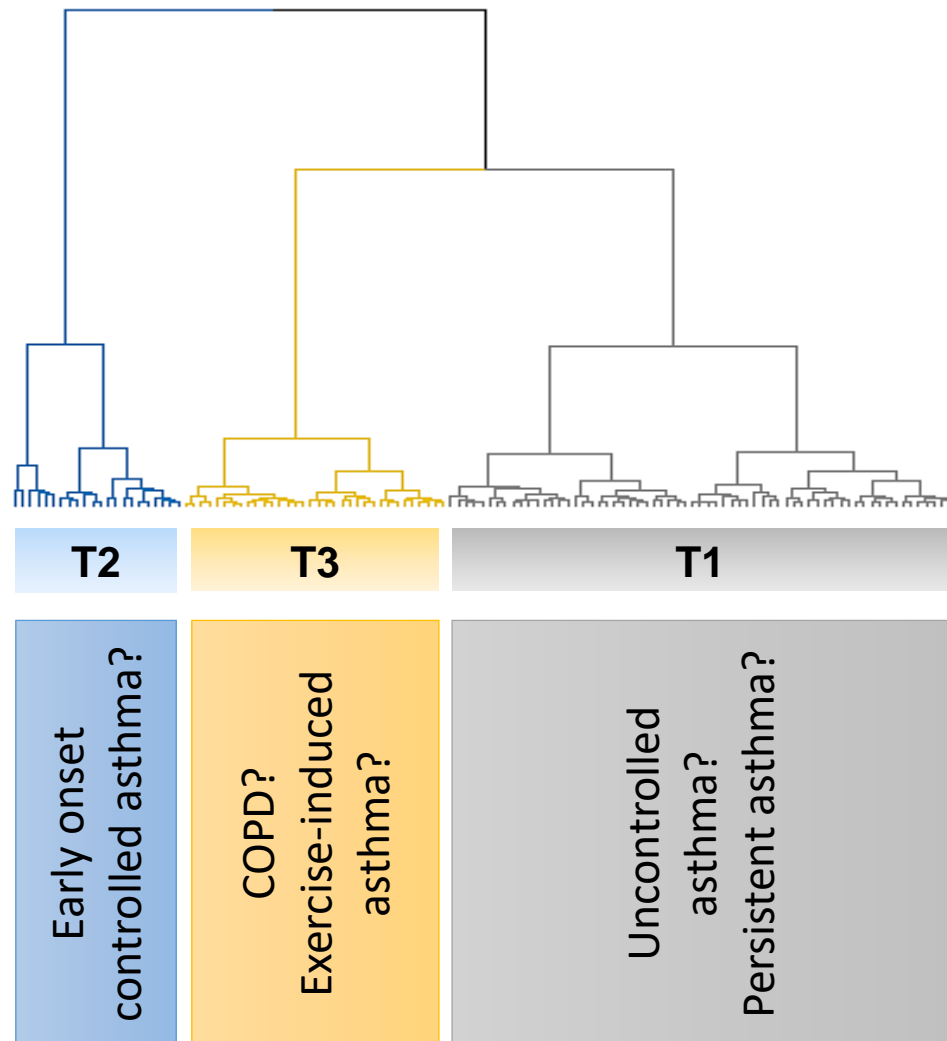
	Cluster T1	Cluster T2	Cluster T3	p
Baseline				
FEV1 (%)	103.91 (±15.36)	103.77 (±16.52)	97.97 (±15.08)	0.17
FEV1 (L)	3.14 (±0.97)	3.22 (±1.02)	2.88 (±0.92)	0.54
FVC (%)	111.06 (±13.05)	112.41 (±14.20)	106.24 (±12.82)	0.15
FEV1/FVC (%)	80.77 (±9.58)	78.82 (±9.80)	78.13 (±7.85)	0.36
FEF 25-75 (%)	81.62 (±30.61)	79.09 (±31.38)	70.68 (±26.10)	0.28
PEF (%)	102.22 (±17.85)	100.64 (±19.58)	92.03 (±16.83)	<b>0.03</b>
FEV1 reversibility (L)	0.20 (±0.23)	0.14 (±0.13)	0.16 (±0.15)	0.56
FEV1 reversibility (%)	6.32 (±6.63)	4.45 (±4.19)	6.41 (±6.82)	0.53
Positive BD (%)	26.15	9.09	20.59	0.24

## BREATHOMICS IN ASTHMA DIAGNOSIS (PART II): Results for respiratory symptoms

Respiratory symptoms	Cluster T1	Cluster T2	Cluster T3	p
<b>Young and Adults (age: 13-78)</b>				
Chest tightness during exercise (Yes, %)	52.46	<b>25.00</b>	57.14	T2/T3, <b>0.04</b> T1/T2, <b>0.05</b>
Chest tightness during exercise (frequency, mean: 0 - 3)	0.98 ( $\pm 1.14$ )	<b>0.37 (<math>\pm 0.81</math>)</b>	1.00 ( $\pm 1.09$ )	T2/T3, <b>0.04</b> T1/T2, <b>0.04</b>
<b>Children, young and adults (age: 6-78)</b>				
Shortness of breath/dyspnoea (Yes, %)	50.77	<b>31.82</b>	58.82	T2/T3, <b>0.04</b> T1/T2, 0.12

Symptoms in last 4 weeks were auto-reported in a questionnaire. In young and adults, the frequency of symptoms was additionally reported (0=never; 1=up to 2 days in a week; 2= more than 2 days in a week; 3= almost every day).

## BREATHOMICS IN ASTHMA DIAGNOSIS (PART II): Different phenotypes, different VOC profiles?



### T2

- Less asthma-like symptoms (dyspnoea and chest tightness during exercise);
  - More children (age under 12).

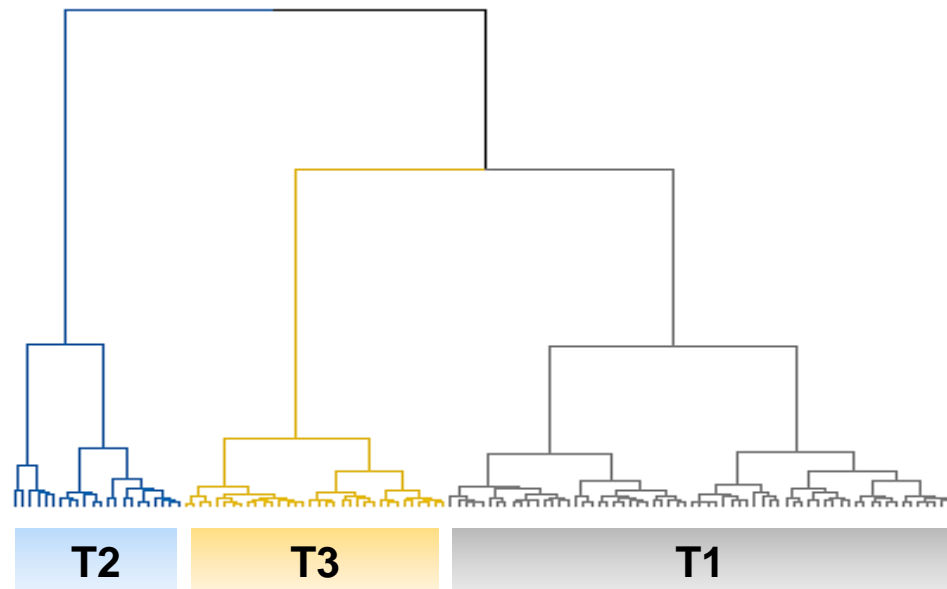
### T3

- Less individuals with asthma (medical diagnosis);
  - More asthma like symptoms than T2;
    - Decreased PEF (%).

### T1

- More symptoms than T2.
- More individuals with asthma than T3.

## BREATHOMICS IN ASTHMA DIAGNOSIS (PART II): Different phenotypes, different VOC profiles?



**Corticosteroid  
therapy**

### **T2**

- Less asthma-like symptoms (dyspnoea and chest tightness during exercise);
  - More children (age under 12).

### **T3**

- Less individuals with asthma (medical diagnosis);
  - More asthma like symptoms than T2;
    - Decreased PEF (%).

### **T1**

- More symptoms than T2.
- More individuals with asthma than T3.

## TAKE HOME MESSAGE

- Exhaled VOC profiles may be used as easily accessible biomarkers for asthma phenotyping and disease monitoring in point-of-care scenarios.
- May be applied in combination with other diagnostic parameters (FeNO, lung function).
- Screening approach for therapy responsiveness.
- For asthma screening:
  - Fast and practical identification of VOC profiles is a must
  - Identification of specific VOCs should be a secondary concern







# Thank you!

*[jcruf@gmail.com](mailto:jcruf@gmail.com)*